

PTO/SB/21 (08-03)

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12 23-03

**TRANSMITTAL
FORM***(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

4

Application Number

10/044,581

Filing Date

October 23, 2001

First Named Inventor

PASS, Natalie

Group Art Unit

3626

Examiner Name

PASS, Natalie

Attorney Docket Number

CARE1

ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Assignment, Including Recordation
Form Cover Sheet☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication
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(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
identify below):Postcard; PTO/SB/08A; Cited
References; Examination Report
(copy)☐ Certified Copy of Priority
Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR 1.52 or
1.53**Remarks:**Cited references and Examination Report are not included in "total
number of pages in this submission".**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual nameTroutman Sanders, LLP
James E. Schutz, Reg. No. 48,658

Signature

Date

12/3/03

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

GOUX, Timothy

Serial No.: 10/044,581

Filed: **October 23, 2001**

For: **A SYSTEM AND METHOD FOR
IMPROVING THE OPERATION OF A
BUSINESS ENTITY AND MONITORING
AND REPORTING THE RESULTS
THEREOF**

Group Art Unit: 3626

Examiner: **PASS, Natalie**

Atty. Docket No. **CARE1**

Deposit Date: December 3, 2003

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Reg. No. 48,658

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INFORMATION DISCLOSURE STATEMENT

December 3, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

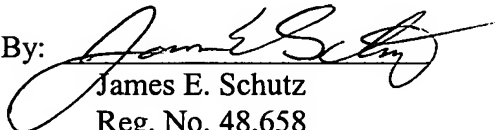
Applicant hereby voluntarily discloses the references listed on the attached PTO/SB/08A to the United States Patent and Trademark Office for the above-identified patent application. A copy of each of the references is enclosed herewith. In addition, Applicant submits the International Preliminary Examination Report in connection with related International Application Number PCT/US01/49935.

The submission of this information shall not be deemed as an admission that these references are, or are considered to be, material to the patentability of the above-identified patent application.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action on the merits. Therefore, Applicant believes that no fee is due under 37 C.F.R. §1.17. However, if a fee is deemed to be due, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 20-1507.

Respectfully submitted,

TROUTMAN SANDERS LLP

By: 
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	
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Complete If Known

Application Number	10/044,581
Filing Date	October 23, 2001
First Named Inventor	GOUX, Timothy
Group Art Unit	3626
Examiner Name	PASS, Natalie
Attorney Docket Number	CARE1

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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